

Opportunities for Education in the President's Proposed FY 2011 Budget

Although the vast majority of education funding is disbursed directly to state and local education agencies, communities can work with their states and districts to influence the use of federal dollars on the local level by strengthening and building upon existing community partnerships and lifting up the experiences, needs, and capacity of families and local organizations. Below is a chart summarizing funding provisions in the proposed FY 2011 federal budget that serve as an opportunity to improve educational outcomes for children. The proposal provides a \$3 billion increase in funding for K-12 education programs authorized in the Elementary and Secondary Education Act (ESEA), and the Administration planned to request up to \$1 billion through ESEA reauthorization.

This chart focuses on funding streams that cover services from cradle to college. While many of these funds are largely school-based supports; we acknowledge that improving outcomes for vulnerable children does not begin and end with the classroom alone. To ensure better and more sustainable results for children, resources are also needed to support strengthening families, increasing economic well-being and building safe and stable communities. However, several of the funding streams here do provide opportunities for residents and local organizations to be involved in and have influence, including:

- **ensuring equitable access to high quality programming and resources** such as high quality early education and out of school time programming;
- **supporting greater parent engagement and support for parents;**
- **building and maintaining safe and stable communities;** and
- **helping to change the culture of neighborhoods** to ensure high expectations for children and an environment that supports academic success.

This list is not meant to be exhaustive but to highlight funding opportunities that can be used to support comprehensive community approaches to improving educational outcomes for children. As new information is made available, we will continue to update this resource.

Discretionary Grantsⁱ

Funding Opportunity	Purpose	Type of Award	FY 2010 Appropriation	President's FY 2011 Budget Request	Eligibility	Community Implications
Charter Schools (DOE)	The purpose of the Charter Schools program is to provide financial assistance for the planning, program design, and initial implementation of charter schools, and the dissemination of information on charter schools.	Type of Award: Discretionary Grant	\$256 M	\$310 M	State Education Agencies (SEAs) in states with a state statute specifically authorizing the establishment of charter schools may apply. After SEAs receive awards, they make competitive grants to charter schools. If an eligible SEA chooses not to compete for PCSP funding, then charter schools in that state may apply directly to the secretary.	Through this opportunity, communities can work alongside state education agencies to advocate for charter schools in their neighborhoods.
Choice Neighborhoods (HUD)	The Choice Neighborhoods initiative seeks to transform distressed neighborhoods and public and assisted projects into viable and sustainable mixed-income neighborhoods with access to well-functioning services, <i>high quality public schools and education programs, high quality early learning programs and services</i> , public assets, public transportation, and improved access to jobs.	Type of Award: Discretionary Grant	\$65 M	\$250 M	Local governments, public housing authorities, non-profits and for-profit developers that apply jointly with a public entity.	The NOFA explicitly gives a competitive advantage to Choice Planning Grant Applicants that have received a Promise Neighborhoods Planning Grant. The FY 2010 Appropriations Act requires that grantees undertake comprehensive local planning with input from residents and community members and that grantees create partnerships with other local organization. Two of the eleven requirements of the Transformation Plan focus on engaging community members and organizations in planning and increasing access to services.

<p>GEAR UP (DOE)</p>	<p>This discretionary grant program is designed to increase the number of low-income students who are prepared to enter and succeed in postsecondary education. GEAR UP provides six-year grants to states and partnerships to provide services at high-poverty middle and high schools.</p>	<p>Type of Award: Discretionary Grant</p>	<p>\$323 M</p>	<p>\$323 M</p>	<p>Institutions of Higher Education (IHEs), Local Education Agencies (LEAs), State Education Agencies (SEAs)</p>	<p>Communities can apply for the grant as a partnership which is either a institute of higher education, local education agency, or state education agency.</p>
<p>Impact Aid (DOE)</p>	<p>Impact Aid provides funding for local school districts with concentrations of children who reside on Indian lands, military bases, low-rent housing properties and other Federal properties. It also provides support for those children whose parents are in the uniformed services or employed on eligible Federal properties.</p>	<p>Type of Award: Discretionary Grant</p>	<p>\$1.27 billion</p>	<p>\$1.27 billion</p>	<p>Local Education Agencies (LEAs)</p>	<p>School districts use Impact Aid for a wide variety of expenses, including the salaries of teachers and teacher aides; purchasing textbooks, computers and other equipment; after-school programs and remedial tutoring; advanced placement classes; and special enrichment programs.</p>
<p>Investing in Innovation Fund(i3) (DOE)</p>	<p>The Investing in Innovation Fund (i3) provides funding to support (1) local educational agencies (LEAs), and (2) nonprofit organizations in partnership with (a) one or more LEAs or (b) a consortium of schools.</p>	<p>Type of Award: Discretionary Grant</p>	<p>\$0 M</p>	<p>\$500 M</p>	<p>Eligible entities are educational agencies and a partnership between a nonprofit organization and (1) one or more LEAs or (2) a consortium of schools</p>	<p>Community based organizations that have a history of working to improve student achievement, such as improving third grade reading, can partner with educational agencies to apply for this grant opportunity. Scale up grants, development grants, and validation grants are available under the Investing in Innovation Fund (i3).</p>

	<p>The purpose of this program is to provide competitive grants to applicants with a record of improving student achievement and attainment in order to expand the implementation of, and investment in, innovative practices that are demonstrated to have an impact on improving student achievement or student growth, closing achievement gaps, decreasing dropout rates, increasing high school graduation rates, or increasing college enrollment and completion rates.</p>					
<p>Project LAUNCH (SAMHSA)</p>	<p>The Project LAUNCH grant program provides funding to support the wellness of young children birth to age eight. Using a public health approach, Project LAUNCH focuses on improving the systems that serve young children and address their physical, emotional, social, cognitive and behavioral growth. The goal: for all children to</p>	<p>Type of Award: Discretionary Grant</p>	<p>\$25 M</p>	<p>\$27 M</p>	<p>Eligible applicants are communities, domestic public and private nonprofit entities. States are not eligible, but local governments, federally recognized American Indian/Alaska Native Tribes and tribal organizations, urban Indian organizations, public or private universities and colleges; and community- and faith-based organizations may apply.</p>	<p>Community based organizations that have a history of supporting the social and emotional development of children from birth to eight will be well positioned when applying directly for this opportunity. Communities have used funds in the past to expand the use of evidence-based practices, improve collaboration among child-serving organizations and integrate physical and mental health and substance abuse prevention strategies for</p>

	reach physical, social, emotional, behavioral and cognitive milestones.					children and their families.
Promise Neighborhoods (DOE)	Promise Neighborhoods program is intended to significantly improve the educational and developmental outcomes of all children in our most distressed communities by providing funding to support eligible entities, including (1) nonprofit organizations, which may include faith-based nonprofit organizations, and (2) institutions of higher education.	Type of Award: Discretionary Grant	\$0	\$210 M	Nonprofits, institutes of higher education are eligible to apply. Entities must: (1) Operate a school; or partner with at least one school in the geographic area proposed to be served in coordination with the school's local educational agency (LEA); (2) Focus on a neighborhood in which there are multiple signs of distress based on indicators of need or other relevant information; (3) Currently provide at least one of the solutions from the applicant's continuum of cradle-through-college-to-career solutions in the geographic area proposed to be served; and (4) Be representative of the geographic area proposed to be served. This means that residents of the geographic area have an active role in decision-making and that at least one-third of the entity's governing board or advisory board.	The federal Promise Neighborhoods program is a bold new initiative to break the cycle of generational poverty by improving the educational outcomes and overall life prospects of low-income children and their families. Given the comprehensive nature of the program, there is a significant opportunity for community organizations to play a significant role in ensuring that those children have the necessary supports and services. The Promise Neighborhood 2010 Notice Inviting Applications advanced two important capacities: the ability to work in partnership and the ability to actively engage and utilize the support of residents. Applicants had to submit a preliminary memorandum of understanding signed by all partners

						that the applicant would work with to plan and implement its proposal. The MOU had to include a proposed governance structure of the Promise Neighborhood - including how the applicant's governing board or advisory board would be "representative of the geographic area to be served and how residents of the geographic area would have an active role in the organization's decision making."
Race to the Top (DOE)	The purpose of the Race to the Top is to reward states that are leading the way with ambitious yet achievable plans for implementing coherent, compelling and comprehensive education reform. Competition among states is meant to spur systemic and innovative reform across four areas: high academic standards, improving teachers effectiveness & distributing more equitably; using data to improve achievement; turning around low-performing schools	Type of Award: Discretionary Grant	\$0 M	\$1.35 billion	SEAS are the eligible applicants.	FY 2010 applications encouraged strong participation from local education agencies. Applications were also judged by whether they had capacity to implement and sustain proposed plans with one of the factors being that the state engages other critical stakeholders including community and local leaders, community based organizations and parents. Local communities should follow closely the states that received funding for lessons learned. Communities in states that were awarded grants should consider partnering closely with State Education Agencies to see how their goals might be aligned The FY 2011 budget proposal looks to expand competition for these funds to school districts.

<p>School-Based Health Center (HHS)</p>	<p>To address the significant and pressing equipment needs to support the expansion of services at school-based health centers.</p>	<p>Type of Grant: Discretionary Grant</p>	<p>\$ 100 M</p>	<p>\$ 50 M</p>	<p>Must be a school-based health center or a sponsoring facility of a school-based health center that comply with all of the following requirements:</p> <p>(1) Meets the definition of a school-based health center (2) Provides, at a minimum, comprehensive primary health services during school hours to children and adolescents by health professionals in accordance with established standards, community practice, reporting laws, and other State laws, including parental consent and notification laws that are not inconsistent with Federal law (3) Does not perform abortion services</p>	<p>By partnering with existing school based health centers or sponsoring facilities of school-based health centers, communities can assist these entities with assessing their need for additional health center equipment..</p>
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<p>School Leadership (DOE)</p>	<p>The School Leadership program provides competitive grants to assist high-need local educational agencies (LEAs) with recruiting, training and retaining principals and assistant principals.</p>	<p>Type of Award: Discretionary Grant</p>	<p>\$29.2 M</p>	<p>\$79 M</p>	<p>High-need LEAs, consortia of high-need LEAs, or partnerships that consist of at least one high-need LEA and at least one nonprofit organization (which may be a community- or faith-based organization) or institution of higher education (IHEs) may apply.</p>	<p>Community based organizations can partner with a high need LEA to apply directly for this opportunity.</p>
<p>Successful, Safe and Healthy Schools (DOE)</p>	<p>This funding opportunity would award grants to increase the capacity of States, districts, and schools to create safe, healthy, and drug-free environments in a comprehensive manner, so that students are able to focus on learning and teachers on teaching. The Safe and Drug Free Schools Programs and the Mental Health Integration Programs are included here.</p>	<p>Type of Award: Discretionary Grant</p>	<p>\$365 M</p>	<p>\$410 M</p>	<p>States, districts, and local educational agencies are eligible to apply</p>	<p>Community based organizations can partner with local educational agencies to help them create a safe, healthy and drug-free environments in a very comprehensive manner.</p>
<p>Striving Readers (DOE)</p>	<p>Striving Readers aims to (1) raise middle and high school students' literacy levels in Title I-eligible schools with significant numbers of students reading below grade-level and (2) build a strong, scientific research base for identifying and replicating strategies that improve adolescent</p>	<p>Type of Award: Discretionary Grant</p>	<p>\$250 M</p>	<p>\$316 M</p>	<p>Applicants must be a State educational agency (SEA) that applies on behalf of itself and one or more LEAs that have governing authority over the eligible schools that the applicant intends to include in the project.</p>	<p>Community based organizations with experience improving literacy would be well positioned to influence strategies at the local level.</p>

	literacy skills.					
<u>Teacher Incentive Fund</u> (DOE)	This program supports efforts to develop and implement performance-based teacher and principal compensation systems in high-need schools. Goals include: improving student achievement by increasing teacher and principal effectiveness; reforming teacher and principal compensation systems so that teachers and principals are rewarded for increases in student achievement; increasing the number of effective teachers teaching poor, minority, and disadvantaged students in hard-to-staff subjects; and creating sustainable performance-based compensation systems.	Type of Award: Discretionary Grant	\$400 M	\$800 M	States are the eligible applicants under section	This opportunity provides incentives for effective teachers to work in underserved communities.
<u>Teacher Quality Enhancement Grant</u> (DOE)	The three Teacher Quality programs authorized by Title II-- State Grants, Partnership Grants and Teacher	Type of Award: Discretionary Grant	\$2.94 billion	\$2.95 billion	For state grants, only the following states remain eligible for this one-time award: Alaska, Delaware, Hawaii, Montana, New York, the Commonwealth of Northern Mariana Islands and the U.S. Virgin	This opportunity addresses the teacher shortage in high need schools.

	Recruitment Grants--are intended to make lasting changes in the ways teachers are recruited, prepared, licensed and supported. One clear goal of these grants is supporting efforts to reduce shortages of qualified teachers in high-need school district.				Islands. Partnerships are composed of, at a minimum, an institution of higher education with a high-performing teacher preparation program, a college of arts and sciences and a high-need local education agency.	
Formula and Block Grants						
Funding Opportunity	Purpose	Type of Award	FY 2010 Appropriation	President's FY 2011 Budget Request	Eligibility	Community Implications
Byrne Criminal Justice Innovation Program (DOJ)	Byrne Criminal Justice Innovation Program which would support innovative evidence based approaches to fighting crimes and improving public safety through integrated public investments	Type of Award: Formula Grant	\$ 0 M	\$ 40 M ⁱⁱ	Funds will be used to support a new Administration place-based initiative where OJP will partner with the Department of Housing and Urban Development and other agencies to support demonstration initiatives in a limited number of sites. There are no FY 2011 current services for this program.	The Byrne Criminal Justice Innovation Program reflects DOJ's commitment to Neighborhood Revitalization Initiative.
21st Century Community Learning Grants (DOE)	21 st Century Community Learning Grants support the creation of community learning centers that provide an array of academic	Type of Award: Formula	\$1.16 billion	\$1.16 billion	Awards are made to State Education Agencies (SEAS). Local education agencies (LEAs) and nonprofit organization may apply to states for sub-grants.	Community based organizations may apply directly to state for this opportunity.

	enrichment opportunities, primarily for students attending low-performing schools. In addition, a portion of administrative funds can be used to provide capacity-building, training and technical assistance to eligible organizations.					
<u>Education for Homeless Children and Youth</u> (DOE)	Generally funding supports programs for the office of education of homeless children and youths in each state, which gathers comprehensive information about homeless children and youths and the obstacles they face trying to attend school. These grants also help State Education Agencies ensure that homeless children, including preschoolers and youths, have equal access to free and appropriate public education (FAPE).	Type of Award: Formula	\$65.4 M	\$65.4 M	The Education for Homeless Children and Youth grant opportunity provides formula grants to 50 states, the District of Columbia, and Puerto Rico based on each state's share of Title I, Part A, funds. The outlying areas and the Bureau of Indian affairs also receive funds.	Community based organizations that have experience working with homeless children and youth may have an opportunity to influence interventions with their state education agencies.

<p>English Language Acquisition (DOE)</p>	<p>State Education agencies that receive funding must ensure that: (1) English language learners and immigrant students attain English proficiency and achieve academically; and (2) Assist in building the nation's capacity in critical foreign languages.</p>	<p>Type of Award: Formula</p>	<p>\$750 M</p>	<p>\$750 M</p>	<p>Department of Education distributes funds by formula to State Education Agencies and State Education Agencies make sub-grants to Local Education Agencies.</p>	<p>Community based organizations that work with ESL students should look for opportunities to partner with and influence LEAs receiving this grant.</p>
<p>Head Start/ Early Head Start (HHS)</p>	<p>The Head Start program provides grants to support comprehensive child development services to economically disadvantaged children and families, with a special focus on helping preschoolers develop the early reading and math skills they need to be successful in school. Early Head Start (EHS) is a federally funded community-based program for low-income families with infants and toddlers and pregnant women.</p>	<p>Type of Award: Formula</p>	<p>\$7 billion</p>	<p>\$8.2 billion</p>	<p>Eligible applicants are any local public or private nonprofit agency, including community-based and faith-based organizations, or for-profit agency, within a community, pursuant to section 641(a)(1) of the Head Start Act (42 U.S.C. 9836).</p>	<p>Local non-profits both public and private are eligible to apply directly for Head Start and Early Head Start grants. Head Start and Early Head Start are family and child development programs for low-income families. Family income is measured according to federal poverty guidelines.</p>
<p>Pell Grants (DOE)</p>	<p>The Federal Pell Grant Program provides need-based grants to low-income undergraduate and certain post baccalaureate students to promote access to</p>	<p>Type of Award: Formula</p>	<p>\$5.5 M</p>	<p>\$5.7 M</p>	<p>Undergraduate and vocational students enrolled or accepted for enrollment in participating schools may apply. Pell Grants are awarded usually only to students who have not earned a bachelor's or a professional degree.</p>	<p>The FY 2011 budget proposes to make funding mandatory so adequate funding is available every year.</p>

	<p>postsecondary education. Students may use their grants at any one of approximately 5,400 participating postsecondary institutions. Grant amounts are dependent on: the student's expected family contribution (EFC) (see below); the cost of attendance (as determined by the institution); the student's enrollment status (full-time or part-time); and whether the student attends for a full academic year or less.</p>					
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<p>Perkins Loan (DOE)</p>	<p>The Federal Perkins Loan Program provides low-interest loans to help needy students finance the costs of postsecondary education. Students can receive Perkins loans at any one of approximately 1,800 participating postsecondary institutions. Perkins loans are administered by institutional financial aid administrators.</p>	<p>Type of Award: Formula</p>	<p>\$1 million</p>	<p>\$2.6 million</p>	<p>Institutions of Higher Education may apply for an allocation of funds to be awarded to undergraduate, vocational and graduate students enrolled or accepted for enrollment at participating schools.</p>	<p>The Perkins loans provides critical support for low-income students who are seeking postsecondary education.</p>
<p>Rural Education</p>	<p>The Rural Education Achievement Program (REAP) authorizes two programs to assist rural school districts in carrying out activities to help improve the quality of teaching and learning in their schools. The Small, Rural School Achievement program provides formula funds to rural school districts that serve small numbers of students, and the Rural and Low-Income School</p>	<p>Type of Award: Formula</p>	<p>\$174.9M</p>	<p>\$174.9 M</p>	<p>Rural school districts that serve small numbers of students/students in underserved neighborhoods.</p>	<p>The Rural Education fund supports students in rural areas and communities of concentrated poverty.</p>

	program provides funds to rural school districts that serve concentrations of poor students, regardless of the district.					
School Turnaround Grants (“School Improvement Grants”) (DOE)	School Turnaround Grants under section 1003(g) of the ESEA are used to improve student achievement in Title I schools identified for improvement, corrective action, or restructuring so as to enable those schools to make adequate yearly progress (AYP) and exit improvement status.	Type of Award: Formula	\$545.6 M	\$900 M	Each State Educational Agency (SEA), Outlying Area, and the Bureau of Indian Education is eligible to receive FY 2010 School Improvement Funds in proportion to the FY 2010 funds it receives under Parts A, C, and D, Subpart 1 of Title I of the ESEA.	The School Improvement Grants encouraged engaging families and the school community early in the process of: Selecting the appropriate school improvement model to implement in a school, particularly with school closures. Implementing a transformation model as required providing ongoing mechanisms for family and community engagement.
Special Education State Grants (DOE)	Authorized under the Individual Disabilities Education Act, the Grants to States program, makes formula grants that help States pay the additional costs of providing special education and related services to children with disabilities aged 3 through 21 years.	Type of Award: Formula	\$ 11 M	\$11 M	States are eligible to apply.	Special Education state grants serve children with disabilities and provide a critical resource to states.
Title 1, Part A (DOE)	The Title I, Part A of the Elementary and Secondary Education Act of 1965 program provides financial assistance to LEAs and	Type of Award: Formula	\$445.2 M	\$445.2 M	State Education agencies may apply for this opportunity. The Department allocates all but 1 percent of appropriated funds to local educational agencies (LEAs) through participating SEAs. The 1 percent is set aside for the Secretary of the Interior and	Title 1, Part A specifically targets children in underserved school districts.

	<p>schools with high numbers or high percentages of poor children to help ensure that all children meet challenging state academic standards. Federal funds are currently allocated through four statutory formulas that are based primarily on census poverty estimates and the cost of education in each state.</p>				<p>the outlying areas (American Samoa, Guam, the Commonwealth of the Northern Mariana Islands, and the United States Virgin Islands).</p>	
<p>TRIO (DOE)</p>	<p>The Federal TRIO Program (TRIO) supports outreach and student services programs designed to identify and provide services for individuals from disadvantaged backgrounds. TRIO includes eight programs targeted to serve and assist low-income individuals, first-generation college students, and individuals with disabilities to progress through the academic pipeline from middle school to post baccalaureate programs.</p>	<p>Type of Award: Formula</p>	<p>\$910 M</p>	<p>\$910 M</p>	<p>The recipients of the grants, depending on the specific program, are institutions of higher education, public and private agencies and organizations including community-based organizations with experience in serving disadvantaged youth and secondary schools. Combinations of such institutions, agencies and organizations may also apply for grants.</p>	<p>Community-based organizations with experience in serving disadvantaged youth and secondary schools may apply directly for this opportunity.</p>

ⁱ The Administration also supported two provisions of the House-passed Student Aid and Fiscal Responsibility Act (SAFRA) that were expected to be included in health care reform legislation but were not ultimately authorized. They are: the American Graduation Initiative, that would have provided nearly 12 million over ten years to increase student completion of certificates and degrees leading to employment in high demand occupations; and the Early Learning Challenge Fund, a competitive grant to states to develop innovative models that would increase the number of low-income children in high quality early education programs. Information about additional funding programs in the Department of Education (DOE) that had community implications and were not funded (including Even Start, Full Service Community Schools, Parent Information Resource Centers, and Smaller Learning Communities) can be found in DOE's archived FY 2011 budget here: <http://www2.ed.gov/about/overview/budget/budget11/summary/edlite-section3a.html>.

ⁱⁱ This is a new program proposed in the FY 2011 budget. <http://www.justice.gov/jmd/2011summary/pdf/state-local-chart.pdf>